



**Schedule of Fees and Charges**  
交易收費表

Fee and Classification 費用及收費類別	Percentage/Amount 比率/金額
<b>(A) Securities Trading 證券交易服務</b>	
Commission Fee 標準佣金收費	0.25% (standard rate per each transaction, minimum HK\$100.00) 0.25% (每宗交易最低收費標準為港幣 100 元) negotiable if transactions volume is sufficient 如交易額多者可面議
Levy (charged by SFC) 交易徵費(證監會收費)	0.0027% on trading amount 交易金額的 0.0027%
Investor Levy 投資者賠償徵費	0% on trading amount 交易金額的 0%
Trading Fee (charged by SEHK) 交易費(交易所收費)	0.00565% on trading amount (starting from 1 Jan 2023) 交易金額的 0.00565% (2023 年 1 月 1 日生效)
Stamp Duty 印花稅	0.10% on trading amount 交易金額的 0.10% Note 備註: 1) paid by buyer and seller 買賣雙方均須繳付 2) payment roundup to HK\$1.00 該款項中不足港幣 1 元之數, 須當作港幣 1 元計算
FRC Transaction Levy 財匯局交易徵費	0.00015% on trading amount (starting from 1 Jan 2022) 交易金額之 0.00015% (2022 年 1 月 1 日生效)
CCASS Charge 交收費用	0.0050% on trading amount (minimum HK\$3.00) 0.0050% 按每筆交易金額(最低收費 3 元)
<b>(B) Physical Shares and/or Settlement 處理實物股票及交收的服務</b>	
Physical Share Deposit 存入實物股票收費	Stamp duty HK\$5.00 payable to SEHK per each transfer deed 收費支交易所轉手釐印費, 每張轉手紙收港幣 5 元
Withdrawal of Physical Shares 提取實物股票收費	HK\$5.00 per lot (HK\$4.00 per lot if charge amount over HK\$35,000.00) and HK\$50.00 handling fee for each share category 每手港幣 5 元(如收費超越 HK\$35,000.00 每手港幣 4 元), 另每種股票收手續費港幣 50 元
Settlement Instruction (SI)/ Investor Settlement Instruction(ISI) (Apply for share transfer out) 交收指示費 (適用於轉出股票)	0.01% on market value of each kind of share (maximum HK\$200.00, minimum HK\$20.00) 按每種股票上日收市價計之貨值的 0.01%(最高收費為港幣 200 元, 最低收費為港幣 20 元)
Mandatory Cash Offer 強制性補購股份收費	Handling fee HK\$100.00 and all other charges from Clearing house & related party(ies) 本公司手續費(港幣 100 元 另加上 結算公司買入股票有關罰款及費用)
<b>(C) Account Fee 帳戶服務</b>	
Custody Fee 託管費	Waived 豁免
Margin Share Custody Fee 抵押股票託管費	Waived 豁免
<b>(D) Nominee &amp; Corporate Action Fee 代理人服務及企業行動</b>	
Scrip Fee 登記過戶費(在截止過戶日期)	HK\$1.50 per lot 每手港幣 1.5 元
Dividend Payment 代收現金股息費	0.5% on dividend payment (Min. HK\$25.00) & CCASS charge (Dividend payment x 0.12%) 按股息金額 0.5%計算(最低收費為港幣 25 元) 另加上中央結算收費(股息總 x 0.12%)
Bonus Share 代收紅股收費	Waived 豁免
Received Subscribed Shares 代收供股權收費	Waived 豁免
Share Split/Combine/Voting 處理股票拆細、合併、公司投票收費	Waived 豁免
Rights Subscription 代客行使供股權收費	HK\$100.00 for each subscription & CCASS charge (HK\$0.8 per lot) 每次收費港幣 100 元 另加上中央結算公司收費 (每手收港幣 0.8 元)



Additional Rights Subscription 申請額外供股權收費	HK\$100.00 for each subscription & CCASS charge (HK\$0.8 per lot) 每次收費港幣 100 元 另加上中央結算公司收費 (每手收港幣 0.8 元)
Warrant Exercise/ Privatization/Share Offer 行使認股權證、公司私有化、收購費	0.25% (min. HK\$100.00) & CCASS charges 0.25% (最低收費港幣 100 元) 另加上中央結算公司收費
Transfer of Share Ownership in Share Registration 在股份登記處辦理轉名收費	HK\$5.00 per lot & handling fee HK\$50.00 on each kind of share 每手港幣 5 元，另每種股票收手續費港幣 50 元
Claim for Non-receipt Rights 代申請索還未領取權益收費	Minimum HK\$600.00 & CCASS charges 每單手續費至少港幣 600 元 另加上 中央結算公司收費
<b>(E) Stock Borrowing &amp; Lending( SBL)Transaction cost 借貸沽空交易費用</b>	
IRO Registration Fee 首次稅局登記費	HK\$300 (Charge once only) \$300 (一次性)
Half-Year Admin Fee 半年行政費	HK\$100 (Good for Jan-Jun / Jul-Dec) \$100 (如在該半年內有借貸交易：1 月至 6 月底；7 月至 12 月底)
Loan Fee 借貸財務費用	Subject to loan value & market rate with min. loan fee \$200 根據借貸值和當時協定利率計算並設有最低金額，最低金額 HK\$200
<b>(F) Others 其他費用</b>	
EIPO Subscription (via CCASS) 認購新股手續費(經中央結算系統)	Waived 豁免
IPO Subscription (margin finance) 認購新股手續費(貸款認購)	HK\$100 \$100
Interest on Margin Finance 認購證券的借貸利息收費	according to Market Rate 因市場利率而定
Cash Client Late Settlement Interest (p.a.) 現金帳戶過期利息(年息)	Prime rate +7% (Prime rate according to HSBC quoting) 最優惠利率 +7% (最優惠利率以匯豐銀行公報利率為準) *If settle after T+9, an extra interest of 3% will be charged (如於成交日的第 9 個交易日後未償清款項，將徵收額外 3% 欠款利息)
Margin Client Debt Balance Interest (p.a.) 保證金帳戶欠款利息(年息)	Prime rate from +5% p.a. (Prime rate according to HSBC quoting) 最優惠利率 +5% 年率(最優惠利率以匯豐銀行公報利率為準) *Additional annual debit interest rate of 5% p.a. (i.e. HKD Prime Rate +10% p.a.) applies to the part of outstanding margin loan which exceeds the total marginable value of client's collaterals in the margin account balance ("Unsettle Margin Call Amount") 追加 5% 之欠款年利率 (即香港最優惠利率+10% 年率) 適用於保證金帳戶結餘中，超過客戶抵押品可按揭總值之未償還保證金貸款部分 (「孖展差額」)。
Re-print Daily/Monthly Statement 重印 成交日結單/月結單	Nearest 3 months – waived (最近 3 個月 - 免費) 3 months before – HK\$100.00 (多於 3 個月 100 元)
Withdrawal Fee 提款收費	- Cheque – waived (支票 - 免費) - Telegraphic transfer (TT) and local transfer (CHATS) – according to bank charge 海外電匯(TT) 及銀行跨行即時轉款(CHATS) - 根據銀行收費 - Exchange rate according to bank rate when execution (limited to currency accepted by bank in Hong Kong) 匯率按當時銀行牌價收取(只限香港銀行可自由對換之外幣)
Real Time Stock Data Service 即時股票報價系統 (由 PowerTicker 提供)	Basic Streaming HK Stock Quotes HK\$380.00 /per month (CDe Mobile App and Web Trading platform supported) 基本港股即時串流報價 每月港幣 380 元 (同時支援「投資易」國農證券 手機應用程式 及 網頁版交易平台)
Letter of Confirmation on Asset 資產證明信函	HK\$200.00 per letter 每封信港幣 200 元
Cheque Returned 退票手續費	HK\$100.00 per transaction 每次港幣 100 元

其它交易徵費(如適用)包括由相關證券交易所收取的交易費，證券結算系統的交易費，或政府收取的證券交易銷售稅，還加上可能必需收取的其他費用。以上佣金及費用如有任何更改，如第三方更新交易徵費，本行恕不提前通知。